

# **WHO's PROGRESS MATRIX ON HEALTH FINANCING SYSTEM (PM-HFS) (& NHI INDICATORS)**

**Panel Discussion at 13<sup>th</sup> Caribbean Conference on Health Financing Initiatives**

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# STRUCTURE OF PM-HFS

KEY ASPECTS/NUMBER OF QUESTIONS	HFS GOALS
<ul style="list-style-type: none"><li>➤ <b>Policy Development Process—4</b></li><li>➤ <b>Revenue Raising—5</b></li><li>➤ <b>Pooling Revenue—7</b></li><li>➤ <b>Purchasing &amp; Provider Payment—10</b></li> <li>➤ <b>Benefits and Entitlements—7</b></li><li>➤ <b><i>Public Financial Management—11</i></b></li><li>➤ <b>Governance--6</b></li></ul> <p>(50 Questions)</p>	<ul style="list-style-type: none"><li>• <b>Efficiency</b></li><li>• <b>Equity in resource distribution</b></li><li>• <b>Transparency &amp; Accountability</b></li><li>• <b>Equity in Finance</b></li> <li>• <b>Financial Protection</b></li><li>• <b>Quality</b></li><li>• <b>Utilisation Relative to Need</b></li></ul>

**PERFORMANCE (Colour) SPECTRUM/RANGE—From ‘Underdeveloped’ to ‘Developed’**

# 1. POLICY DEVELOPMENT PROCESS

***1.1 HAS A RECENT PERFORMANCE ASSESSMENT/DIAGNOSTIC OF YOUR HFS BEEN DONE?***

***1.2 DO YOU HAVE A FORMAL (LEGAL) POLICY STATEMENT ON HF?***

***1.3 DO YOU ROUTINELY MONITOR/TRACK PROGRESS AND ACCOUNT FOR H/SPENDING? (HOW)?***

**1.4 Do you conduct impact assessment of specific HF reforms and use these to upgrade/revise HF policies and measures?**

## 2. REVENUE RAISING

**2.1 Are your revenue generation approaches aligned with overall fiscal and health objectives?**

***2.2 TO WHAT EXTENT IS YOUR REVENUE GENERATION BASED ON PUBLIC/COMPULSORY FUNDING EG. GENERAL TAXES OR MANDATORY HEALTH INSURANCE CONTRIBUTIONS?***

**2.3 Is the public funding predictable in the short and medium term?**

**2.4 Is the flow of public funding stable and available on a timely basis?**

***2.5 ARE REVENUE SOURCES RAISED IN A PROGRESSIVE, EQUITABLE MANNER (RE: BASED ON ABILITY TO PAY)***

# 3. POOLING REVENUE

**3.1** What are the health coverage financing schemes (pools) in the country?

**3.2** *DO YOU HAVE A FORMAL POLICY /PLAN/SCHEME FOR POOLING HEALTH REVENUES?*

**3.3** Are there limits (legal or otherwise) to re-distribution of prepaid funds?

**3.4** *ARE THERE MEASURES TO ADDRESS PROBLEMS OF FRAGMENTED POOLS?*

**3.5** Are there complementary-coordinating arrangements among pools to cover costs of the benefit package for the population?

**3.6** Do voluntary health insurance arrangements create inequities in pooling and access to health services?

**3.7** To what extent is effectiveness of health services financing affected by duplicative and uncoordinated pooling arrangements?

# 4. PURCHASING AND PROVIDER PAYMENT

***4.1 ARE FUND ALLOCATIONS TO HEALTH FACILITIES/ORGANISATIONS BASED ON POPULATION HEALTH NEEDS?***

***4.2 ARE PAYMENTS/INCENTIVES TO HEALTH PROVIDERS HARMONISED AND COORDINATED AMONG VARIOUS POOLS?***

***4.3 DO PROVIDER PAYMENT/PURCHASING METHODS PROMOTE COORDINATED QUALITY CARE ACROSS LEVELS AND SPECIALTIES?***

**4.4 Do purchasing contracts specify and are monitored for quality of care (availability, appropriateness) ?**

**4.5 Do provider payments promote efficiency and cost control in spending (no over-or under-provision)?**

**4.6 Are there standard claim forms/systems which are used by the various health financing pools?**

***4.7 DO PROVIDER PAYMENTS COVER ALL BILLED/CLAIMED COSTS OR ONLY A PORTION EG SALARY; RECURRENT ITEMS?***

**4.8 Do health providers have financial autonomy and are held accountable for their operations?**

**4.9 Is accreditation required for health providers?**

**4.10 Do purchasing and payment systems promote rational use of medicines and provide financial protection for patients?**

# 5. BENEFITS AND ENTITLEMENTS

***5.1 DO YOU HAVE A DEFINED BENEFIT PACKAGE OF ESSENTIAL HEALTH SERVICES?***

**5.2** Is the defined benefit package developed and revised in a transparent manner using agreed criteria eg cost effectiveness, financial protection, budget impact?

**5.3** Does the benefit package explicitly reflect population health needs?

**5.4** Does the benefit package reflect priorities for vulnerable population groups?

**5.5** Is the population aware of their entitlements and obligations in the health financing pools?

***5.6 IS THE BENEFIT PACKAGE ALIGNED WITH PROVIDER PAYMENTS TO ENSURE AVAILABILITY AND FINANCIAL PROTECTION FOR PATIENTS?***

***5.7 IS THE BENEFIT PACKAGE ALIGNED WITH AVAILABLE REVENUES AS WELL AS COST SHARING ARRANGEMENTS TO ENSURE SUSTAINABLE FUNDING?***

# 6. PUBLIC FINANCIAL MANAGEMENT (PFM)

**6.1 HAS AN IN-DEPTH DIAGNOSIS OF PFM BOTTLENECKS BEEN DONE RECENTLY?**

**6.2 DOES THE HEALTH MANAGEMENT STAFF HAVE THE CAPACITY TO APPLY PFM BUDGETING RULES?**

**6.3 Do you have multi-year budgets for health?**

**6.4 Is the health budget aligned to health priorities?**

**6.5 Is the budget process transparent and consultative and reviewed mid-year?**

**6.6 Are there fiscal transfers for equity in resource distribution?**

**6.7 Do the budget rules allow for flexibility in spending?**

**6.8 Is flexibility in health budget spending delegated to facilities?**

**6.9 Are measures to control spending and exert budget discipline effective?**

**6.10 Do you have information systems for monitoring spending and accounting for performance?**

**6.11 Are the PFM rules and various funding flows aligned to strategic purchasing?**



# 7. GOVERNANCE

***7.1 ARE THERE DEFINED ROLES FOR THE VARIOUS GOVERNING BODIES INVOLVED IN SETTING HEALTH FINANCING GOALS, REVENUE RAISING, POOLING, PURCHASING AND DEFINING BENEFITS?***

**7.2 Do these governing bodies have the necessary capacity (funding, hr, ict) for managing their tasks?**

**7.3 Are there defined provisions for autonomy and accountability of health financing agencies with regards to health goals and priorities?**

***7.4 ARE THERE REGULAR REPORTS TO THE PUBLIC ON HEALTH SPENDING/PURCHASING ACTIVITIES AND RELATED USE OF FUNDS?***

**7.5 Are there formal arrangements for meetings of the MOF, MOH and national health financing bodies on health financing issues?**

**7.6 Is the policy making process for health financing transparent and participative?**

# REFERENCE DOCUMENTS (Check WHO Website..www.who.int)

- **WHO. 2019. Financing for Universal Health Coverage: Do's and Don'ts**
- **WHO. 2019. Health Financing Progress Matrices.**
- **WHO. 2019. Health Financing Progress Matrices—A Country Application**